

Treasury Corporation of Victoria

## Investing in Victorian Government Bonds – Designated Investments

- Business Innovation and Investment (Provisional) visa (subclass 188) Investor Stream
- Investor Retirement (subclass 405) visa

**May 2019**

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**TCV**

Treasury Corporation of Victoria

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## About this publication

This publication was last revised in May 2019. It comprises 5 sections:

Section 1.0:	Investing in Victorian Government Bonds as Designated Investments
Section 2.0:	Terms and Conditions
Section 3.0:	Application Instructions
Section 4.0:	TCV Application Form
Section 5.0:	TCV Transfer Form

### 1.0 Investing in Victorian Government Bonds as Designated Investments

Victorian Government Bonds are issued by the Treasury Corporation of Victoria as Designated Investments under the Investor Retirement and Business Innovation and Investment visa categories of the Department of Home Affairs (Home Affairs), where applications have been lodged with Home Affairs.

**Please read this publication carefully before applying for Victorian Government Bonds as a Designated Investment and keep Section 2.0 and Section 3.0 for future reference.**

If you wish to proceed with an investment in Victorian Government Bonds, you will need to complete the enclosed Section 4.0 - TCV Application Form and Section 5.0 - TCV Transfer Form as instructed. We suggest you keep a copy of both forms once completed.

This publication is for applicants who applied for the following visas with Home Affairs:

- Business Innovation and Investment (Provisional) visa (subclass 188) – Investor Stream
- Investor Retirement visa (subclass 405)

This publication is not intended to be a prospectus or other disclosure document for the purposes of the *Corporations Act 2001* (Cth) and is not intended to be and does not constitute an invitation by TCV for applications to subscribe for or buy any Victorian Government Bonds or offer any Victorian Government Bonds for subscription or purchase.

This publication may not be distributed by anyone in any jurisdiction in which such distribution is not authorised.

In particular, this publication will not be registered under the US Securities Act of 1933 and may not be offered, sold or resold within the United States or to, or for the account or benefit of, US Persons (as defined in Regulation S under the Securities Act) unless in accordance with an appropriate exemption under US law. Your access to this publication is on the condition that you are not a resident of the US or located in the US and that you are not breaching any laws, rules, directives, regulations or any other restrictions in any jurisdiction and, in particular, the restrictions in relation to the United States.

This publication is not to be used for the Business Innovation and Investment (Provisional) visa (subclass 188) Significant Investor Stream and the Business Innovation and Investment (Permanent) visa (subclass 888) Significant Investor Stream.

## 1.1 Glossary of terms used in this brochure:

<b>Home Affairs</b>	Department of Home Affairs
<b>Registrar</b>	Computershare Investor Services Pty Ltd
<b>TFN</b>	Australian Tax File Number
<b>TCV</b>	Treasury Corporation of Victoria

## 1.2 Enquiries

For further information about this publication or inquiries in relation to your bond holdings contact the Registrar:

Computershare Investor Services Pty Ltd  
GPO Box 2867  
Melbourne  
Victoria  
Australia 3001

Telephone:

Within Australia: 1800 628 008

From overseas: +61 3 9415 4076

Email: [tcv@computershare.com.au](mailto:tcv@computershare.com.au)

**TCV is unable to assist with any queries about Victorian Government Bonds at its offices. All queries are to be addressed to the Registrar.**

**For information on Investor Visas, refer the Department of Home Affairs website:**

<https://www.homeaffairs.gov.au/trav/work/skil>

**For information on migration to Victoria, refer the Skilled Migration website:**

<https://liveinmelbourne.vic.gov.au/>

## 2.0 Terms and Conditions

### 2.1 Treasury Corporation of Victoria & the Registry

Treasury Corporation of Victoria (TCV) was established as a statutory authority under the *Treasury Corporation of Victoria Act 1992* and began operating on 1 January 1993. TCV is the central authority for the issuance of all Government guaranteed debt in the State of Victoria.

The Bonds Registry is currently kept for TCV by Computershare Investor Services Pty Ltd (**Registrar**) at 452 Johnston Street, Abbotsford, Victoria. The Registry is evidence of ownership of the Bonds. Inspection of the Registry is available during usual office hours. Inspection rights are limited to your own holdings.

### 2.2 Victorian Government Bonds

Victorian Government Bonds are Inscribed Stock issued by TCV under the Borrowing and Investment Powers Act 1987 and are governed by the *Borrowing and Investment Powers (Stock, Bonds and Debentures) Regulations 2007 (Regulations)*. Each Bond is a direct debt obligation of TCV. With Victorian Government Bonds, payment of your interest and repayment of your principal investment upon maturity is guaranteed by the Government of Victoria.

### 2.3 Investing in Victorian Government Bonds

#### 2.3.1 Designated Investments

Victorian Government Bonds are Designated Investments issued by TCV having met the specification published by the Minister for Immigration and Border Protection in the Gazette under Regulation 5.19A of the Migration Regulations 1994 (Cth). You can only apply for Bonds after you have been advised in writing to do so by the Home Affairs office processing your application for migration/residence. Special conditions apply to Designated Investments.

You should obtain and read the relevant information from Home Affairs. It is important to note that immigration law changes frequently and it is your responsibility to get the latest information from Home Affairs. TCV **cannot** provide you with any immigration information or advice.

A prospective migrant with questions about migration/residence should:

- call 131 881 (within Australia); or
- go to the website <https://www.homeaffairs.gov.au/trav/visa-1/188->

If you have questions about Victorian Government state nomination, you should go to the website <https://liveinmelbourne.vic.gov.au/>

If you want your Victorian Government Bonds to qualify as a Designated Investment, you must follow the steps described in Section 3.0 - Application Instructions. On receipt and acceptance of all documents, the Registrar will issue a Confirmation of Investment to the Applicant(s) and forward a copy of the Confirmation of Investment together with the completed Home Affairs forms to the relevant Home Affairs office that has processed your visa application.

TCV **cannot** provide investment advice to you. This publication is not intended to provide the basis of any credit or other evaluation and should not be considered as a recommendation by TCV or the Victorian Government that any recipient of this publication should purchase the Victorian Government Bonds.

#### **You should seek qualified independent investment advice before making an investment.**

Persons intending to purchase Victorian Government Bonds should:

- determine for themselves the relevance of the information contained in this Information Booklet and their interest in purchasing the Victorian Government Bonds should be based upon such investigations, as they consider necessary; and
- consult their own tax advisers concerning the application of any tax laws applicable to their particular situation.

### 2.3.2 Who can invest in Victorian Government Bonds?

Victorian Government Bonds may be inscribed in the names of up to two persons. A holding inscribed in more than one name will be held by those persons as joint owners. However, the first person named on the TCV Application Form will be the recipient of any interest payments and principal repayments and the recipient of other documents, unless the Registrar is instructed to pay or correspond in joint names.

The primary applicant on the visa application with Home Affairs, whose name appears on the Invitation Letter and Form 1031, must be the first person named on the TCV Application Transfer Forms.

It is a condition of investing in Victorian Government Bonds that you hold a qualifying visa (Business Innovation and Investment (Provisional) visa (subclass 188) Investor Stream or Investor Retirement (subclass 405) visa).

If your visa is cancelled by Home Affairs or you cease to hold the visa for any reason, TCV will cancel your Victorian Government Bond and pay the proceeds to you.

All applicants must sign the Application personally and be aged 18 years or more at the date of application. TCV does not accept applications for Victorian Government Bonds from companies or trusts.

### 2.3.3 Source of investment funds & minimum investment

To qualify as a Designated Investment, it is a Home Affairs requirement that funds used to finance your Victorian Government Bond must be personally owned, unencumbered and must have been accumulated legally as a result of your business and/or investment activities.

The Home Affairs office processing your visa application will advise you in writing of the minimum amount you need to invest in Victorian Government Bonds in order for the investment to qualify as a Designated Investment.

The following conditions apply to your investment:

- the investment amount received by TCV must satisfy the minimum amount specified by Home Affairs
- your investment cannot be processed if the full investment amount is not received into TCV's bank account.

Ensure you pay any fees relating to the bank transfer separately and your paying bank does not deduct any charges from the investment amount

- there are no application fees or commissions to be paid to TCV by the investor
- TCV will not pay brokerage on your Victorian Government Bond
- the bank account(s) used to transfer funds to the TCV bank account **must match** the bank account name and account number details provided on your application form.

Use of another bank account(s) not disclosed on your application form may result in TCV **rejecting** your application.

- TCV may request certified evidence of your funds transfer
- TCV **does not** accept funds sent from a remittance agent not registered with AUSTRAC
- the total investment amount must **not** be transferred in more than **5** separate transactions
- excess funds will be returned to an Australian bank account.

Where an Australian bank account is not provided for payment of principal and interest any excess funds will be returned. TCV does not return excess funds under \$20.00 by cheque.

### 2.3.4 Investment term & issue date

The term of an investment in a Victorian Government Bond is **four years** from the issue date.

The issue date is set on the date TCV accepts both the investor's application and the deposited investment funds in TCV's bank account and instructs the Registrar to issue the bond.

### 2.3.5 Investment interest rate

The interest rate on your investment will be determined based on current market interest rates on the issue date.

A current indicative rate and maturity date can be obtained by contacting the Registrar (refer to section 1.2 for details).

Any indicative interest rate obtained is an indication only and cannot be relied on as it is based on market prices for Victorian Government Bonds at the time requested and can vary daily.

The following conditions apply to the payment of interest:

- interest will be paid half yearly in arrears
- the interest rate remains fixed until maturity date
- interest will be paid on the specified payment date except if that date falls on a day which is not a Melbourne business day, in which case payment is made on the next business day
- the final interest payment on your Bonds will be paid with your principal on maturity.

### 2.3.6 Investment principal & interest payments

The following conditions apply to the payment of principal and interest:

- all payments of principal and interest will be made in Australian dollars to the registered holder by direct credit to a nominated Australian bank account. Do not provide a bank term deposit account.
- TCV does not accept third party payment instructions to pay to an account in a name other than the registered holder
- if your Australian bank account details are not provided, principal and interest will be paid by cheque to your postal address provided on your application form
- notify the Registrar of any change of address or banking details
- at maturity the principal will be repaid to the registered holder as specified by you on the TCV Application Form. Repayment at maturity is automatic. Interest on your Bonds will cease at maturity
- Any payments which are unclaimed after 12 months are sent to the Victorian State Revenue Office as unclaimed money. For information about how to search for and claim unclaimed money, visit the Victorian State Revenue Office website.

### **2.3.7 Confirmation of investment**

A confirmation of investment will be issued by the Registrar as soon as possible on receipt and acceptance of:

- a letter from Home Affairs asking you to make the investment and/or any forms required by Home Affairs (e.g. Form 1031)
- the required investment amount in TCV's bank account
- the properly completed original TCV Application Form, and
- the properly completed TCV Transfer Form.

An incomplete or incorrect Application Form, or failure to deposit the full investment amount (in no more than 5 separate deposits) into TCV's bank account, may delay the processing of your application. TCV or the Registrar will make reasonable efforts to contact you if this occurs.

The confirmation of investment (Certificate of Inscription) is of no value for transfer purposes and is issued only as evidence of your investment in Victorian Government Bonds.

### **2.4 Transfers**

The Migration Regulations require Designated Investments to be non-transferable and non-redeemable until maturity. It is a requirement that you must remain as the holder of the Victorian Government Bond for the term of the Victorian Government Bond and will not be permitted to transfer your Victorian Government Bond before maturity.

To satisfy Migration Regulations:

- complete the transferor details of the Transfer Form and return it signed and witnessed with the Application Form
- the Registrar will mark the Transfer Form in your name(s), and retain the Transfer Form till the maturity date of the Bond
- the Transferee details of the Transfer Form is completed by TCV to restrict any transfer of the Bonds.

A person becoming entitled to a Victorian Government Bond as a consequence of death or bankruptcy (or like event) may, upon producing such evidence to that entitlement or status as the Registrar requires under the Regulations, become registered as the holder of the Victorian Government Bond.

### **2.5 Rejection or reduction of your investment**

TCV or the Registrar reserves the right to reject or reduce any application. If your application is reduced or rejected, your original principal investment will be refunded without interest.

It is a condition of your Victorian Government Bond that you hold a visa. In the event Home Affairs refuse to issue, refuse to extend or cancel your visa TCV will buyback your Victorian Government Bond and return the funds.

The terms on which TCV will cancel and buyback a bond and how TCV determines a buyback price are detailed in the following section.



## 2.6 Withdrawals before maturity

### 2.6.1 Conditions of withdrawing your investment before maturity

To qualify as a Designated Investment, it is a requirement that Victorian Government Bonds must be non-transferable and non-redeemable. You will not be permitted to withdraw all or part of your Victorian Government Bond before maturity. Your visa can be **cancelled** if the funds are withdrawn from the Bonds before the four-year term expires.

In **exceptional circumstances** TCV may agree to repurchase your Victorian Government Bond. However, to avoid your visa being cancelled, you should first consult with Home Affairs.

For a Victorian Government Bond buyback:

- you can withdraw after the date of the **second** interest payment to you
- you must withdraw the full amount of your investment
- after withdrawing your investment, you will **no longer** be eligible to reinvest in Victorian Government Bonds as a Designated Investment.

The price which TCV will buy back your Victorian Government Bond is determined by using the Reserve Bank of Australia bond pricing formula.

In summary, the buy-back price is based on:

- the initial invested amount of the Victorian Government Bond being bought back
- the Maturity Date of the Victorian Government Bond being bought back
- the Interest Rate applicable to the Victorian Government Bond being bought back
- the prevailing market interest rates at the time the Victorian Government Bond is being bought back.

**This means that the price which we will buy back the Victorian Government Bond under this buy back offer may be more or less than the amount of your original investment.**

For example, the amount received on a buy back may be:

- less than the original principal investment (a loss) where the prevailing interest rates at the time of the buy-back are higher than the interest rate which is payable on the Victorian Government Bond being bought back; or
- greater than the original principal investment (a gain) where the prevailing interest rates at the time of the buy-back are lower than the interest rate which is payable on the Victorian Government Bond being bought back.

This gain or loss may have tax implications for you.

### 2.6.2 Steps to withdraw your investment before maturity

The following steps must be taken to withdraw your investment before maturity:

#### Step 1.

Contact the Registrar to seek approval from TCV to buy back your Victorian Government Bond.

#### Step 2.

Obtain a quote for the buy back of your Victorian Government Bond by contacting the Registrar (refer 1.2 Enquiries).

Ensure you have all the details of the Victorian Government Bond you wish to sell back to TCV (i.e. Investor Number and Loan Number).

#### Step 3.

After obtaining a quote, if you wish to proceed with the buy back, complete a Sale Form and send it to the Registrar.

The Sale Form must be lodged with the Registrar at least 8 days before the next specified interest payment date.

## 2.7 Taxation

Taxation law changes frequently and we suggest you seek your own tax advice. TCV cannot give you tax information or advice.

Interest you earn on your Designated Investment will have withholding tax of 10% automatically deducted from it until you provide an Australian Tax File Number (**TFN**) for each investor listed on the bond or evidence of exemption status to TCV. For Australian residents, interest forms part of assessable income.

TFN legislation permits investment bodies to record TFN or exemption details provided by investors. Collection of TFN information is authorised and its use and disclosure is strictly regulated by the tax laws and the *Privacy Act 1988*.

## 2.8 Privacy notification

TCV and the Registrar collect personal information from the TCV Application Form (such as your name, address, date of birth, country of origin, career history, investment amount, tax, and bank account details) for the purpose of assessing your application and recording, administering, and making payments with regard to your investment, and to comply with TCV's obligations under the Anti-Money Laundering & Counter Terrorism Financing Act 2006 (AMLCTF). The Registrar or TCV may request additional information from you before providing you with the requested service.

TCV manages this information in accordance with the Information and Privacy Principles contained in the *Privacy and Data Protection Act 2014*. A copy of TCV's Privacy Policy is available at [www.tcv.vic.gov.au](http://www.tcv.vic.gov.au).

TCV is required to enter this personal information in the Bonds Register. You may access, correct and update the personal information in the Bonds Register, or elect not to receive marketing material by contacting the Registrar. You may contact TCV for up-to-date contact details of the Registrar.

To ensure the integrity and safety of your personal information, the Registrar will only disclose such information to Bondholders if internal procedures are satisfied. Depending on the nature of your request, the Registrar may ask you to complete a personal information request form.

The personal information you provide in the TCV Application Form or otherwise received by the Registrar in connection with your investment, may also be disclosed to:

- TCV
- the Department of Home Affairs which is responsible for the administration of the Designated Investments scheme
- regulatory bodies and government agencies, including, for example, the Australian Taxation Office, the Victorian Department of Jobs, Precincts and Regions, AUSTRAC (the AMLCTF regulator), or a law enforcement agency
- third parties (who may be located outside of Victoria and Australia) engaged by TCV to assist it to verify your identity and to comply with the AMLCTF laws
- the Registrar's related bodies, corporate print service providers, mail houses in the provision of registry services, payments on your Bonds and for information mail outs; and
- your agent or representative.

If you do not provide the personal information required to complete the TCV Application Form, TCV and its Registry will not be able to process your application for Victorian Government Bonds.

## 2.9 Taping of telephone calls

TCV records telephone conversations relating to transactions or potential transactions undertaken with its clients and counterparties. This practice is common amongst financial institutions in Australia and the telephone conversations may or may not be accompanied by audible pips or beeps. Recordings may be used for the purpose of resolving disputes regarding the terms of any transaction. The Registrar also records telephone conversations, primarily for training purposes.

### 3.0 Application Instructions

To invest in Victorian Government Bonds as a Designated Investment you must follow the application instructions.

Failure to complete the required steps may delay processing your application and setting the issue date of the investment.

#### Step 1. Complete Section 4.0 - TCV Application Form

To invest in Victorian Government Bonds, complete the attached Section 4.0 - TCV Application Form and the attached Section 5.0 - TCV Transfer Form.

#### Form A - Applicant details

- complete details for all applicants, including residential and postal address details
- if there are two applicants, each applicant must complete a separate Form A, Form B, Form C and Form F
- ensure a Tax File Number is provided if applicable.

#### Form B – Applicant details Career History

- for each applicant provide a summary of your job title, organisation where you worked and the type of industry you worked for, i.e. manufacturing.

#### Form C – Politically Exposed Persons (PEP)

- each applicant is required to indicate whether they are a PEP, a family member of a PEP, or a close associate of a PEP.
- refer definition of a PEP detailed in Form C.

#### Form D – Investor’s Agent/representative

- to be completed by your agent or representative appointed to communicate with the Registrar regarding your application
- a copy of the agent or representative authorisation to act on behalf of the investor(s) is required
- the agent or representative must complete Form F and provide identification documents.

#### Form E – Investment deposit

- provide details of the bank account used to make the investment deposit
- where available provide an Australian bank account details for payment of interest and principal at maturity
- complete a separate Form E if there are more than one (maximum of 5) investment deposits.

#### Form F - Identification documents

- send only certified copies and not original documents
- all foreign language documents must be translated by an accredited translator
- a list of persons who can certify documents can be found in the Appendix at the end of the form.

#### Form G – Investor Acknowledgement and Signature

- ensure all applicants sign the TCV Application Form.

## Step 2. Complete Section 5 - Transfer Form

- provide details of transferor(s)
- provide amount invested
- all applicants must sign the TCV Transfer Form
- a witness to the signature(s) must sign the TCV Transfer Form.

## Step 3. Confirm the transaction

Confirm the transaction by faxing the original or emailing a PDF version of the following documents to the Registrar at:

Fax: + 61 3 9473 2535

Email: [tcv@computershare.com.au](mailto:tcv@computershare.com.au)

- the completed TCV Application Form and the TCV Transfer Form;
- a letter from Home Affairs requesting you to make the investment and specifying your category as either:
  - Business Innovation and Investment (Provisional)\_visa (subclass 188B) (State Nominated Investor), or
  - Investor Retirement visa (subclass 405)
- and/or any forms required by Home Affairs (e.g. Form 1031)

## Step 4. Post completed forms to Registrar

You must then post the **completed** TCV Application Form, TCV Transfer Form, identification documents and the letter from Home Affairs asking you to make the investment and/or any forms required by Home Affairs (e.g. Form 1031), by express mail to:

Computershare Investor Services Pty Ltd  
GPO Box 2867  
Melbourne  
Victoria  
Australia 3001

## **Do Not Send Any Documents to TCV**

### Step 5. Deposit funds into TCV's bank account

When TCV has accepted your application, you will be notified by the Registrar to arrange the **immediate** deposit of the full investment amount in Australian dollars to:

Westpac Banking Corporation  
150 Collins Street,  
Melbourne VIC 3000

Account Name: TCV Incribed Stock Account

Account No: 033-222 222021

Swift Code: WPACAU2S

When lodging your deposit into the above TCV Incribed Stock Account, provide your **Home Affairs case file number or full name as the payee / beneficiary reference**. This will enable the Registrar to match your deposit to your application form, ensuring your investment is processed without delay.

### Step 6. Await confirmation of investment

On receipt and acceptance of the investment amount, the Registrar will issue a Confirmation of Investment to the Applicant(s) and forward a copy of the Confirmation of Investment together with the completed Home Affairs forms to the relevant Home Affairs office that processed your visa application.

Note that Home Affairs forms will not be released until all documents and the investment amount have been received and accepted.

## 4.0 TCV Application Form

Visa sub-class

Before filling in this application, carefully read the Terms and Conditions carefully. Complete the details in **BLOCK LETTERS**. On completion, follow steps 2 to 5 as outlined in Section 3.0 - Application Instructions.

**Form A**

**Applicant Details**

### Full Name

Title

First Name

Middle Name

Surname / Family / Last Name

Date of birth (dd/mm/yyyy)

Name in your own language or script (if applicable)

Name in Chinese Commercial Code Numbers (if applicable)

Country(s) of residency

Country(s) of citizenship(s)

Country of birth

### Residential Address (do not provide a Post Office Box or c/- Address)

Number

Street name

Suburb/Town/City

State/Territory/County/Region

Country

Postal/Zip Code

### Postal address (if different from residential address)

Number

Street name

Suburb/Town/City

State/Territory/County/Region

Country

Postal/Zip Code

### Contact phone numbers (including area code and country code)

Home

Business

Mobile

### Tax File Number\*

Tax File Number (if any)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Exemption Type (if any)

Is this Applicant currently an Australian resident for taxation purposes?

Yes or No

\* Australian Residents: You are not required by law to provide your TFN; however, if you choose not to quote it, we will be obliged to deduct tax at the highest marginal rate plus Medicare Levy. Refer to Terms & Conditions.

Where applicable copy and complete **Form A**, **Form B**, **Form C** and **Form F** for an additional applicant.

# TCV Application Form

For each applicant provide the following career history for the previous 5 years

Form B	Applicant Details – Career History		
<b>Applicant Name:</b>			
Year	Job Title	Organisation name (i.e. XYZ Pty Ltd)	Industry (i.e. Technology)

<b>Applicant Name:</b>			
Year	Job Title	Organisation name (i.e. XYZ Pty Ltd)	Industry (i.e. Technology)

**Applicant Name:**

Politically Exposed Persons (PEPs) are individuals who occupy a prominent public position or functions in a government body or international organisation, both within and/or outside Australia.

The immediate family members and close associates of a Politically Exposed Person are classified as a Politically Exposed Person.

A **“Politically Exposed Person”** is an individual:

- (1) who holds a prominent public position or function in a government body or an international organisation, including:
  - Head of State or head of a country or government; or
  - government minister or equivalent senior politician; or
  - senior government official; or
  - Judge of the High Court of Australia, the Federal Court of Australia or a Supreme Court of a State or Territory, or a Judge of a court of equivalent seniority in a foreign country or international organisation; or
  - governor of a central bank or any other position that has comparable influence to the Governor of the Reserve Bank of Australia; or
  - senior foreign representative, ambassador, or high commissioner; or
  - high-ranking member of the armed forces; or
  - board chair, chief executive, or chief financial officer of, or any other position that has comparable influence in, any State enterprise or international organisation; and
- (2) who is an immediate family member of a person referred to in paragraph (1), including:
  - a spouse; or
  - a de facto partner; or
  - a child and a child's spouse or de facto partner; or
  - a parent; and
- (3) who is a close associate of a person referred to in paragraph (1), which means any individual who is known (having regard to information that is public or readily available) to have:
  - joint beneficial ownership of a legal entity or legal arrangement with that person; or
  - sole beneficial ownership of a legal entity or legal arrangement that is known to exist for the benefit of that person.

A **Domestic Politically Exposed Person** is a Politically Exposed Person of an Australian government body.

A **Foreign Politically Exposed Person** is a Politically Exposed Person of a government body of a foreign country.

An **International Organisation Politically Exposed Person** is a Politically Exposed Person of an international organisation.

Are any applicants named in this form a Politically Exposed Person, a family member of a Politically Exposed Person or a close associate of a Politically Exposed Person?

No     Yes -provide full name(s):

First Name	Middle Name	Surname / Family / Last Name
<input type="checkbox"/> Domestic Politically Exposed Person	<input type="checkbox"/> Foreign Politically Exposed Person	<input type="checkbox"/> International Organisation Politically Exposed Person
<input type="checkbox"/> Domestic Politically Exposed Person	<input type="checkbox"/> Foreign Politically Exposed Person	<input type="checkbox"/> International Organisation Politically Exposed Person



**Form D****To be completed by the Investor's Agent or representative  
(if the investor has authorised another person to act for or on behalf of the investor)****Full name of Agent or representative**

Title

First Name

Middle Name

Surname / Family / Last Name

**Date of birth (dd/mm/yyyy)** /  / **Address (do not provide a Post Office Box or c/- Address)**

Number

Street name

Suburb/Town/City

State/Territory/County/Region

Country

Postal/Zip Code

**Contact phone numbers (including area code and country code)**

Business

Mobile

**Proof of Identity and Authorisation to Act on Investors  
Behalf****Type of authority**I have attached a certified copy of my identification documents (please also copy and complete [Form E](#)).

Vic DEDJTR Appointment of Agent/Representative

I have attached my authorisation to act on behalf of the investor.

Other, please specify: .....

.....

DO NOT attach original documents, send only copies. Documents will not be returned.**Agent's or Representative's Signature:**

**Amount applied for**

A\$  Visa sub-class

**Details of Investment Deposit**

Bank name

Branch name and address

Account name

BSB

Account Number

Remittance Agent ABN or ACN (where applicable)

Amount

**Details of Bank Account for Payment of Principal & Interest (Australian Bank Account Only)**

Interest and surplus funds will be paid by cheque to your registered postal address until your Australian bank account details are provided to the Registrar.

Bank name

Branch name and address

Account name

BSB

Account Number (NOT a term deposit)

Funds Transfer Date (Registrar use only)

 /  /

**Provide identity documentation of two primary photographic documents (Option 1) or two primary non-photographic documents and one secondary identification document (Option 2).**

DO NOT attach original documents. Send only certified copies of original documents. Documents will not be returned. All documents must be current unless otherwise stated. All foreign documents must be accompanied by an English translation from an accredited translator.

**Option 1 – Attach at least two primary photographic identification documents:**

- Tick ✓                      Primary photographic identity document
- Driver's licence
- Passport or International Travel Document
- National Identity Card

**OR**

**Option 2 – Attach at least two primary non-photographic identification documents and one secondary identification document**

**Tick ✓                      Primary non-photographic identification documents**

- Citizenship certificate
- Birth certificate

**Tick ✓                      Secondary non-photographic identification documents**

- A local government notice (e.g. council rates) or utilities notice (e.g. power, gas or phone bill) issued within the last 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- An income tax assessment notice issued within the last 12 months which contains the individual's name and residential address.

**Translations**

Documents translated in Australia need to be accredited by a translator who has been accredited by the National Accreditation Authority for Translations and Interpreters (NAATI).

A translator outside Australia must endorse the translation with their full name, address, telephone number, and details of their qualification and experience in the language being translated.

**Certified copies**

The person certifying your identity documentation must make sure ALL pages have been certified as true copies of the originals by writing or stamping 'certified true copy' followed by their signature, printed name, qualification (e.g. Justice of the Peace), date and contact details. You cannot certify your own identity documentation.

A list of all persons who can certify identity documents is at the end of this document.

By signing this TCV Application Form I/we acknowledge receipt of the terms and conditions applying to this investment and that I/we have read and understood the privacy notification in the terms and conditions section and consent to the use and disclosure of personal information as set out in the privacy notification.

**Signature of all applicants (All applicants must be aged 18 or over)**

**Applicant 1**



**Applicant 2**



**Date**

/ / 2 0

**Ensure that you also complete the separate TCV Transfer Form.**

## 5.0 TCV Transfer Form

<b>Visa sub-class</b>		<b>Government Bonds of Victoria Stock Registry</b> <b>452 Johnston Street</b> <b>Abbotsford VIC 3067</b> <b>AUSTRALIA</b>
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### Transferor/Seller details (to be completed by the applicant)

	Title	First Name	Middle Name	Surname / Family / Last Name
<b>Applicant 1</b>				
<b>Applicant 2</b>				

I/We hereby direct the Registrar to mark this TCV Transfer Form with the words to the effect that all my/our Victorian Government Bonds specified below are held against this TCV Transfer Form until the maturity date of these Victorian Government Bonds. This TCV Transfer Form is to be held by the Registrar to the order of Treasury Corporation of Victoria.

### Investment details (to be completed by the Registrar)

Loan No.	Amount A\$	Investment Rate	Maturity Date	Marking No.

I/We hereby assign and transfer these Victorian Government Bonds and all my/our property and interest in and right to the same and to the interest accrued thereon

### Signature of Transferor(s)

<b>Applicant 1</b>	
<b>Applicant 2</b>	
<b>Date</b>	

### Signature of Witness


**Print Name in English**

### Transferee details

Transferee(s) Full Name

Treasury Corporation of Victoria

Address

Level 12, 1 Collins Street Melbourne Vic 3000 Australia

### Registrar confirmation

**(to be completed by the Registrar, Computershare Investor Services Pty Limited)**

I confirm that a marking has been recorded against this transfer for ..... dollars on ..... until maturity date of the above Bonds. At maturity, principal will be repaid to the holder/s who were registered 8 days prior to the maturity date. Payment will be made as specified on the TCV Application Form.

**Registrar**

## Who can certify documents for you?

The following individuals are authorised to certify identity documents. The list may be different to other lists you are accustomed to using. One of the people on this list should certify your identity documents or we may have to reject your forms.

A *certified copy* means a document that has been certified as a true copy of an original document by one of the following persons:

1. a person who, under a law of the Commonwealth, a State or Territory of Australia, is currently licensed or registered to practise in Australia in one of the following occupations:
  - a. Architect
  - b. Chiropractor
  - c. Dentist
  - d. Financial adviser or financial planner
  - e. Legal practitioner
  - f. Medical practitioner
  - g. Midwife
  - h. Migration agent registered under Division 3 of Part 3 of the Migration Act 1958
  - i. Nurse
  - j. Occupational therapist
  - k. Optometrist
  - l. Patent attorney
  - m. Pharmacist
  - n. Physiotherapist
  - o. Psychologist
  - p. Trade marks attorney
  - q. Veterinary surgeon
2. Accountant who is:
  - a. a fellow of the National Tax Accountants' Association; or
  - b. a member of any of the following:
  - c. Chartered Accountants Australia and New Zealand;
  - d. the Association of Taxation and Management Accountants;
  - e. CPA Australia;
  - f. the Institute of Public Accountants
3. Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
4. APS employee engaged on an ongoing basis with 2 or more years of continuous service who is not specified in another item of this Part
5. Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
6. Bailiff
7. Bank officer with 2 or more continuous years of service
8. Building society officer with 2 or more years of continuous service
9. Chief executive officer of a Commonwealth court
10. Clerk of a court
11. Commissioner for Affidavits
12. Commissioner for Declarations
13. Credit union officer with 2 or more years of continuous service
14. Employee of a Commonwealth authority engaged on a permanent basis with 2 or more years of continuous service who is not specified in another item in this Part
15. Employee of the Australian Trade and Investment Commission who is:
  - a. in a country or place outside Australia; and
  - b. authorised under paragraph 3(d) of the Consular Fees Act 1955; and
  - c. exercising the employee's function at that place
16. Employee of the Commonwealth who is:
  - a. at a place outside Australia; and
  - b. authorised under paragraph 3(c) of the Consular Fees Act 1955; and
  - c. exercising the employee's function at that place
17. Engineer who is:
  - a. a member of Engineers Australia, other than at the grade of student; or
  - b. a Registered Professional Engineer of Professionals Australia; or
  - c. registered as an engineer under a law of the Commonwealth, a State or Territory; or
  - d. registered on the National Engineering Register by Engineers Australia
18. Finance company officer with 2 or more years of continuous service
19. Judge
20. Justice of the Peace
21. Magistrate
22. Marriage celebrant registered under Subdivision C of Division 1 of Part IV of the Marriage Act 1961
23. Master of a court
24. Member of the Australian Defence Force who is:
  - a. an officer; or
  - b. a non-commissioned officer within the meaning of the Defence Force Discipline Act 1982 with 2 or more years of continuous service; or
  - c. a warrant officer within the meaning of that Act
25. Member of the Australasian Institute of Mining and Metallurgy
26. Member of the Governance Institute of Australia Ltd
27. Member of:
  - a. the Parliament of the Commonwealth; or
  - b. the Parliament of a State; or
  - c. a Territory legislature; or
  - d. a local government authority
28. Minister of religion registered under Subdivision A of Division 1 of Part IV of the Marriage Act 1961
29. Notary public, including a notary public (however described) exercising functions at a place outside:
  - a. the Commonwealth; and
  - b. the external Territories of the Commonwealth
30. Permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office providing postal services to the public
31. Permanent employee of:
  - a. a State or Territory or a State or Territory authority; or
  - b. a local government authority;with 2 or more years of continuous service
32. Person before whom a statutory declaration may be made under the law of the State or Territory in which the declaration is made
33. Police officer
34. Registrar, or Deputy Registrar, of a court
35. Senior executive employee of a Commonwealth authority
36. Senior executive employee of a State or Territory
37. SES employee of the Commonwealth
38. Sheriff
39. Sheriff's officer
40. Teacher employed on a permanent full time or part time basis at a school or tertiary education institution
41. An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees;
42. An officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees;
43. A person in a foreign country who is authorised by law in that jurisdiction to administer oaths or affirmations or to authenticate documents.

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